

Financial Institution Name: Banque El Amana, S.A.

Location: Avenue Mamadou Konate, Nouakchott, Mauritania, BP: 5559

This questionnaire acts as an aid to firms conducting due diligence and should not be relied on exclusively or excessively. Firms may use this questionnaire alongside their own policies and procedures in order to provide a basis for conducting client due diligence in a manner consistent with the risk profile presented by the client. The responsibility for ensuring adequate due diligence, which may include independent verification or follow up of the answers and documents provided, remains the responsibility of the firm using this questionnaire.

	Anti-Money Laundering Questionn		
	answer "no" to any question, additional information can be	supplied a	at the
	the questionnaire.		
	eneral AML Policies, Practices and Procedures:	Yes	No No
1.	Is the AML compliance program approved by the FI's board or a senior committee?	Yo	
2.	Does the FI have a legal and regulatory compliance program that includes a designated officer that is responsible for coordinating and overseeing the AML framework?	Yo	
3.	Has the FI developed written policies documenting the processes that they have in place to prevent, detect and report suspicious transactions?	Yo	
4.	In addition to inspections by the government supervisors/regulators, does the FI client have an internal audit function or other independent third party that assesses AML policies and practices on a regular basis?	Yo	
5.	Does the FI have a policy prohibiting accounts/relationships with shell banks? (A shell bank is defined as a bank incorporated in a jurisdiction in which it has no physical presence and which is unaffiliated with a regulated financial group.)	Yo	
6.	Does the FI have policies to reasonably ensure that they will not conduct transactions with or on behalf of shell banks through any of its accounts or products?	Yo	
7.	Does the FI have policies covering relationships with Politically Exposed Persons (PEP's), their family and close associates?	Υo	
8.	Does the FI have record retention procedures that comply with applicable law?	Υo	
9.	Are the FI's AML policies and practices being applied to all branches and subsidiaries of the FI both in the home country and in locations outside of that jurisdiction?	Yo	

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VI. AML Training	Yes	No
 24. Does the FI provide AML training to relevant employees that includes: Identification and reporting of transactions that must be reported to government authorities. Examples of different forms of money laundering involving the FI's products and services. 	Yo	
 Internal policies to prevent money laundering. 		
25. Does the FI retain records of its training sessions including attendance records and relevant training materials used?	Yo	
26. Does the FI communicate new AML related laws or changes to existing AML related policies or practices to relevant employees?	Yo	
27. Does the FI employ third parties to carry out some of the functions of the FI?		No
 28. If the answer to question 27 is yes, does the FI provide AML training to relevant third parties that includes: Identification and reporting of transactions that must be reported to government authorities. 		
 Examples of different forms of money laundering involving the FI's products and services. Internal policies to prevent money laundering. 		

Space for additional information: (Please indicate which question the information is referring to.)				
Name: Ahmed Salem ELY				
Title: Compliance Officer				
Signature:				
Date: Feb 22 nd , 2017				

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